Anaerobic Threshold Biophysical Characterisation of the Four Swimming Techniques

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ABSTRACT
The anaerobic threshold (AnT) seems to be not only a physiological boundary but also a transition after which swimmers technique changes, modifying their biomechanical behaviour. We expanded the AnT concept to a biophysical construct in the four conventional swimming techniques. Seventy-two elite swimmers performed a 5 × 200 m incremental protocol in their preferred swimming technique (with a 0.05 m · s⁻¹ increase and a 30 s interval between steps). A capillary blood samples were collected from the fingertip and stroke rate (SR) and length (SL) determined for the assessment of [La], SR and SL vs. velocity inflexion points (using the interception of a pair of linear and exponential regression curves). The [La] values at the AnT were 3.3 ± 1.0, 3.9 ± 1.1, 2.9 ± 1.34 and 4.5 ± 1.4 mmol · l⁻¹ (mean ± SD) for front crawl, backstroke, breaststroke and butterfly, and its corresponding velocity correlated highly with those at SR and SL inflexion points (r = 0.91 – 0.99, p < 0.001). The agreement analyses confirmed that AnT represents a biophysical boundary in the four competitive swimming techniques and can be determined individually using [La] and/or SR/SL. Blood lactate increase speed can help characterise swimmers’ anaerobic behaviour after AnT and between competitive swimming techniques.

Introduction
In swimming, competitive success can be compromised by high volume and indiscriminate training loads. Indeed, accurate and dedicated exercise prescription is required, reinforcing the importance of regular training control and pool-based testing of biophysical measures [1–3]. From the complex group of swimming determinants, the physiological variables have been studied extensively using established testing procedures [e.g., [4]]. The most field-ap-
In applicable procedure is the anaerobic threshold concept [AnT; [5, 6]] allowing determination of the boundary after which the rise of swimming velocity leads to the loss of body homeostasis, as aerobic metabolism alone does not support energy requirements [7].

AnT can be assessed as the break point on the blood lactate concentration ([La]) vs. workload curve during incremental swimming [5, 8], identifying the individual exercise intensity after which lactate production exceeds its reduction, and swimming velocity is no longer sustainable for a long time period [6, 9, 10]. Occurring in the transition from moderate to heavy exercise intensity domains [11, 12]. AnT is considered as one of the most consistent predictors of performance in cyclic and individual sports, particularly in swimming [9, 10, 13]. However, the fixed [La] 4 mmol·l⁻¹ value proposed by Mader et al. (1978) does not consider inter-individual differences, overestimating the real aerobic capacity of aerobically trained athletes [7, 8, 14].

Biomechanics is of growing importance in swimming training diagnosis, leading to a biophysical approach to training prescription and evaluation. In fact, combining the physiologic and biomechanical areas of expertise allows better control of the complexity of training loads and increase swimming performance [12, 15]. Some studies already reported technical changes as swimming intensity rises, particularly identifying a stroke rate (SR) increase and stroke length (SL) deterioration after the AnT [5, 15]. Therefore, AnT is purportedly not only a physiologic boundary, but also decisively influences the behaviour of biomechanical and coordinative variables [11, 17]. However, probably due to the complexity of the motor task and constraints of the aquatic environment, these findings were only evident for front crawl swimming (with backstroke, breaststroke and butterfly being negatively discriminated) and using samples close to 10 subjects.

Our main aim was to confirm the AnT as the boundary above which [La], SR and SL exhibit significant changes. This will be done for the four conventional swimming techniques and using a representative cohort of trained swimmers using adequately powered sample size. We hypothesized that [La] at AnT ([(La)]ₐₙ) in front crawl and backstroke will be lower than the standard 4 mmol·l⁻¹ value (leading to relevant practical differences between corresponding swimming velocities) and that in breaststroke and butterfly it will be higher than that fixed value (as they are less economical swimming techniques) [18]. It is also expected that SR will rise and SL decrease after the AnT intensity due to changes in coordination strategies. Complementary, given that the anaerobic energy systems contribute substantially to short duration swimming events (and the majority of competitions last less than 2 min), we have also assessed the swimmers’ anaerobic behaviour in different techniques [12].

Materials and Methods

Seventy-two international level swimmers (47 males and 25 females), specialists in front crawl (n = 38), backstroke (n = 15), butterfly (n = 11) and breaststroke (n = 8), volunteered to participate. Their main physical characteristics were: 20.3 ± 3.1 vs. 18.4 ± 2.5 y, 73.9 ± 7.3 vs. 58.9 ± 5.7 kg body mass, 1.80 ± 0.06 vs. 1.70 ± 0.04 m height, 8.0 ± 2.5 vs. 16.0 ± 5.7 percentage of fat mass and 811 ± 32 vs. 808 ± 39 FINA points of best competitive performance for male and female swimmers (respectively). Swimmers were informed about the purpose of the evaluations and provided individual consent for participation in accordance with this journal [19], the local research ethics committee (code nº CEFADE 28 2019) and the Declaration of Helsinki.

In a 25 m indoor pool with water temperature of 27 °C, after an 800 m standardised low intensity warm-up, each subject performed a 5 × 200 m intermittent incremental protocol, with 0.05 m·s⁻¹ increase per step and a 30 s rest interval [11, 12, 20]. In-water starts were used and initial velocities were established by the swimmer’s current individual 400 m performance less five velocity increments (first step: velocity at 400 m – 0.20 m·s⁻¹). Performance was measured using a Seiko stopwatch and controlled through auditory signals. Each step represented the same relative intensity for all swimmers, independent of the swimming technique, who were verbally encouraged to reach their maximal exertion during the last 200 m step.

Capillary blood samples for [La] analysis were collected from the fingertip at rest, in the intervals between steps and 1, 3, 5 and 7 min after the protocol using a Lactate Pro 2 analyser (Arkay, Inc, Kyoto, Japan) [12]. SR was determined as the number of cycles per min (using a Seiko stopwatch with a base 3 upper limb cycles frequency meter function) and SL was calculated by dividing the mean velocity by SR (both were assessed as the mean value of each 50 m per 200 m step) [20]. By computing the [La], SR, and SL vs. velocity, linear and exponential curves were determined using the least square method [8, 17]. After a visual inspection of the plots, and considering the respective residue value, the inflection points were assumed to be the intersection at the maximal fit situation, of a combined pair of linear and exponential regressions. In addition, linear inter- extrapolation of the [La]/velocity curve allowed the determination of v₄ and v₃.5 [20], as displayed (Fig. 1).

Each swimmer’s anaerobic profile was established with two derived indicators: (i) the blood lactate increase rate ([La]ₐₙ; adapted from [21]), as the ratio between the net [La] and velocities (Δ[La]/Δv, respectively) of the two last protocol steps; and (ii) the blood lactate increase speed (BLIS) of 4th and 5th steps, as the time rate of net [La] per step (difference between the [La] obtained after the current step and the previous one, and the time needed to accomplish the 200 m step; adapted from [1]). Swimming techniques were analysed individually and by alternated (front crawl and backstroke) and simultaneous (breaststroke and butterfly) upper and lower limb-action groups, given the obvious dichotomy between them [4, 18].

Mean and standard deviation of measures were computed, and data were checked for distribution normality with the Shapiro-Wilk test. One-way independent and repeated measures ANOVA were performed to compare velocities, [La], SR and SL between swimming techniques and along the incremental protocol. Where a significant F-value was obtained, a Bonferroni post hoc test was conducted to identify the pairwise differences and partial eta-squared (ɳ²) used for computing the effect size. A paired samples Student’s t-test was used to assess the differences between v₄inf and v₃.5, vSRinf and vSLinf. Intraclass correlation and Pearson’s r correlation coefficients quantified the degree of reliability and association between v₄inf, vSRinf and vSLinf (respectively) [22]. Agreement analysis between the inflection points was also performed (using v₄inf as the reference) through both Passing-Bablok [23] regression and
Bland-Altman [24] plot analysis (MedCalc Software, version 17.3, Mariakerke, Belgium) to assess the absence of systematic differences between measurements ($p \leq 0.05$).

**Results**

In Fig. 2, the $[\text{La}]$ vs. velocity curves are presented for each conventional swimming technique, displaying similar shapes although with diverse values. Velocity of 5th step corresponded to ~96 % of the swimmers 200 m best performance and 99–100 % of 400 m front crawl best performance. Increases in swimming velocity along the 5 × 200 m protocol for all analysed swimming techniques can be observed in Fig. 3. When analysing alternated and simultaneous upper and lower limb-action swimming techniques, we observed the same biophysical behaviour, i.e., the rise in swimming velocity ($F_{4.208} = 219.66$, $\eta^2 = 0.95$) implied, between first and last step of 200 m, higher $[\text{La}]$ ($F_{4.208} = 133.06$, $\eta^2 = 0.92$ vs. $F_{4.76} = 71.63$, $\eta^2 = 0.95$) higher SR ($F_{4.208} = 118.27$ $n^2 = 0.91$; and $F_{4.76} = 40.52$, $n^2 = 0.91$), and lower SL ($F_{4.208} = 68.08$, $n^2 = 0.85$; and $F_{4.76} = 16.07$, $\eta^2 = 0.80$), all for $p < 0.001$. The evolution of SR and SL along the incremental protocol for all analysed swimming techniques can be observed in Fig. 3.

Table 1 presents mean and SD of $[\text{La}]_{\text{AnT}}$, $v_{\text{AnT}}$, $v_{\text{SR inf }}$, $v_{\text{SL inf }}$, $v_4$, $[\text{La}]_{\text{3.5}}$ and $[\text{La}]_{\text{4th}}$ and $[\text{La}]_{\text{5th}}$ BLIS for the four conventional swimming techniques and the alternated and simultaneous techniques. $[\text{La}]_{\text{AnT}}$ and $[\text{La}]_{\text{4th}}$ ranged from 1.3 to 5.6 mmol·l$^{-1}$ and 28 to 258 (mmol·l$^{-1}$), (m·s$^{-1}$) in front crawl, 1.5 to 5.4 mmol·l$^{-1}$ and 18.6 to 193.3 (mmol·l$^{-1}$), (m·s$^{-1}$) in backstroke, 2.5 to 6.8 mmol·l$^{-1}$ and 37.6 to 266.7 (mmol·l$^{-1}$), (m·s$^{-1}$) in butterfly and 1.8 to 5.4 mmol·l$^{-1}$ and 60.4 to 102.9 (mmol·l$^{-1}$), (m·s$^{-1}$) in breaststroke, exhibiting highly interindividual variability.

When the swimming techniques were analysed individually, results showed that: (i) $v_{\text{AnT}}$ was lower than $v_4$ (but similar to the $v_{3.5}$) in front crawl; (ii) $v_{\text{AnT}}$ was similar to the $v_4$ (but higher than the $v_{3.5}$) in backstroke and butterfly; and (iii) $v_{\text{AnT}}$ was not different from both $v_4$ and $v_{3.5}$ in breaststroke. When analysed between groups, results showed that: (i) $v_{\text{AnT}}$ was lower than $v_4$ and $v_{3.5}$ in alternated swimming techniques; and (ii) $v_{\text{AnT}}$ was similar to $v_4$ (but higher than $v_{3.5}$) in simultaneous techniques. In addition, the four swimming techniques $v_{\text{AnT}}$ corresponded to ~92 % of $v_{200}$ at the 5th step ($92 \pm 3, 93 \pm 3, 93 \pm 4$ and $92 \pm 3$, for front crawl, backstroke, butterfly and breaststroke, respectively), with front crawl presenting higher $v_{\text{AnT}}$ than butterfly, and breaststroke the lowest $v_{\text{AnT}}$ value.

Analyses of both physiological and biomechanical inflection points showed that the $v_{\text{AnT}}$, $v_{\text{SR inf }}$ and $v_{\text{SL inf }}$ were coincident for front crawl, backstroke, butterfly and breaststroke. In all the swimming techniques, intraclass correlation between physiological and biomechanical inflection points was excellent (ICC: 0.947 to 0.996; 95 % CI: 0.861 to 0.998), $v_{\text{AnT}}$ showed a strong direct relationship with $v_{\text{SR inf }}$ (r: 0.948 to 0.995) and $v_{\text{SL inf }}$ (r: 0.953 to 0.995), all for $p < 0.001$. Passing-Block regressions between physiological and biomechanical inflection points are presented in Fig. 4. The 95 % confidence intervals of the slope and intercept included or were very close to 1 and zero, respectively. Similar results were observed in Bland-Altman plot reports (Fig. 5), where estimations were almost unbiased and between the 95 % limits of agreement. In addition, the slope of the Bland Altman regression showed evidence of a proportional error in the $v_{\text{AnT}}$ vs. $v_{\text{SR inf }}$ comparison in the breaststroke technique.

In relation to swimmers’ anaerobic characteristics, we observed that: (i) $[\text{La}]_{\text{AnT}}$ is similar in all four swimming techniques; (ii) BLIS is higher in the 5th 200 m step for all swimming techniques; (iii)
front crawl presents higher BLIS in the 4th and 5th step compared to breaststroke and butterfly, but similar compared to backstroke, and (iv) alternated swimming techniques present higher BLIS than simultaneous ones. Combining BLIS with the AnT assessment in the incremental protocol is very relevant allowing researchers and coaches to evaluate both aerobic and anaerobic behaviors in a single test session.

Discussion
One of the main purposes of AnT assessment is to evaluate the adequacy of aerobic training. As its development is one of the most important targets in competitive swimming, we assessed the AnT through a biophysical approach for all four conventional swimming techniques. Despite a variety of different AnT testing procedures in swimming, the majority are not regularly used, since: (i) the non-invasive 30 min and 2000 m tests [7, 25] are monotonous and not motivational, possibly leading to an underestimation of the final result; (ii) the maximal lactate steady state test [10, 26], although still considered the gold standard for assessing aerobic capacity requires, at least, 3 consecutive days of testing; (iii) the critical velocity test, composed by, at least, two maximal intensity bouts, can lead to an overestimation of the final result if a distance of ~15 min is not used [27]; (iv) the long duration tests probably are not performed at constant velocities, reflecting different intensities levels, and are difficult to apply using simultaneous swimming techniques.

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**Fig. 2** Individual and mean ± standard deviation envelope blood lactate concentrations vs velocity curves for individual anaerobic threshold assessment in each conventional swimming technique.
[28]; (v) the two speed test has the limitation inherent to the \([La]\) average value [14, 20].

To overcome the above referred constrains, we have adapted one of the most used swimming intermittent incremental protocols [8, 29, 30] to assess the AnT in the four conventional swimming techniques. This 5 × 200 m incremental protocol was already used for front crawl [3, 20] and appears to be a useful procedure to determine the exact exercise intensity corresponding to the beginning of an exponential \([La]\) rise in the four competitive swimming techniques. In addition, as it is shorter in duration comparing to other incremental protocols (e.g., [8]), it might be more frequently implemented in swimming training cycles, particularly at elite level, allowing a more regular and systematic training control and swimmers evaluation.

![Fig. 3](image)

Mean and standard deviations for stroke rate and length along the incremental protocol for assessment of individual anaerobic threshold for each competitive swimming techniques and by alternated and simultaneous upper and lower limb-action groups.
In front crawl, [La]_{AnT} was lower than the fixed 4 mmol·l\(^{-1}\) value, in accordance with the literature [10, 17, 20], but similar to the 3.5 mmol·l\(^{-1}\) value proposed by Heck et al. (1985) for highly aerobically trained athletes. This reinforces the idea that \(v_4\) does not represent the \(v_{AnT}\) and should be used with caution for training prescription. Backstroke and butterfly \(v_{AnT}\) were similar to \(v_4\) and higher than \(v_{3.5}\), but no differences were observed for breaststroke (probably due to small sample size). After the[La] evidencing an exponential behaviour, a small increase in swimming velocity will imply a high increment in [La], justifying the similarity between the \(v_{AnT}\) \(v_4\) and and v3.5 values, even with \([La]_{AnT}\) lower than 3.5 mmol·l\(^{-1}\).

In fact, the mean \([La]_{AnT}\) values differ between swimming techniques, with a range from < 2 to > 5 mmol·l\(^{-1}\), showing a high inter-variability. This may be justified by the high specialized training process of elite swimmers (in advanced moments of their sporting career the training load is increasingly anaerobic), particularly for non-front crawl specialists, as their competitive events lasts from career the training load is increasingly anaerobic, particularly for front crawl specialists (for all techniques) [8, 15, 17]. Above the AnT, at heavy and severe intensities [11, 12], where the anaerobic contributions start to increase, SR and SL showed an opposite behaviour in response to the metabolic imbalance [10, 11, 17] and an increased hydrodynamic drag [35, 36]. These changes were not strictly linear and a critical turning point was identified for both physiological and biomechanical variables, in accordance with front crawl literature [10, 11, 17]. In some cases, SL presented an inverted “U shape” justifying the close values between the first and last step. However, the same SL behaviour after AnT was evident for all swimmers. Good agreement was also observed between \(v_{AnT}\), \(vSR_{inf}\) and \(vSL_{inf}\), corroborating the above-referenced previous findings.

Since most competitive swimming events have a short duration (from ~20 s to 5 min), \([La]_{AnT}\) and BLIS were determined to complement the AnT assessment to explore the anaerobic capability of highly trained swimmers. \([La]_{AnT}\) assessment was adapted from Holroyd & Swanwick (1993) lactate tolerance rating (differential velocity between [La] of 5.0 and 10.0 mmol·l\(^{-1}\)) since that methodology could not be used in our entire sample because some swimmers have their AnT higher 5 mmol·l\(^{-1}\) and others presented values lower 10 mmol·l\(^{-1}\) in the last step of the incremental protocol. No differences were observed in \([La]_{AnT}\) between swimming techniques, probably related to the non-regular velocity differential between the last two steps of the incremental protocol (the last 200 m were done at maximal effort). However, the use of \([La]_{AnT}\) in consecutive evaluations may be useful for identification of swimmers strengths and weakness regarding the use of anaerobic energy.

### Table 1 Mean and SD values of all assessed variables in the 5 × 200 m incremental protocol.

<table>
<thead>
<tr>
<th>Variables</th>
<th>Front crawl (n = 38)</th>
<th>Backstroke (n = 15)</th>
<th>Breaststroke (n = 8)</th>
<th>Butterfly (n = 11)</th>
<th>Alternated techniques (n = 53)</th>
<th>Simultaneous techniques (n = 19)</th>
</tr>
</thead>
<tbody>
<tr>
<td>([La]_{AnT}) (mmol·l(^{-1}))</td>
<td>3.3 ± 1.0 *</td>
<td>3.9 ± 1.1</td>
<td>2.9 ± 1.1 *</td>
<td>4.9 ± 1.5</td>
<td>3.4 ± 1.1</td>
<td>4.0 ± 1.7</td>
</tr>
<tr>
<td>(v_{AnT}) (m·s(^{-1}))</td>
<td>1.45 ± 0.13 • • •</td>
<td>1.35 ± 0.09 • • •</td>
<td>1.06 ± 0.09</td>
<td>1.29 ± 0.10 • • •</td>
<td>1.43 ± 0.13</td>
<td>1.19 ± 0.15</td>
</tr>
<tr>
<td>(v_4) (m·s(^{-1}))</td>
<td>1.48 ± 0.10 *</td>
<td>1.35 ± 0.12</td>
<td>1.08 ± 0.08</td>
<td>1.22 ± 0.16</td>
<td>1.44 ± 0.12 *</td>
<td>1.16 ± 0.15</td>
</tr>
<tr>
<td>(v_{3.5}) (m·s(^{-1}))</td>
<td>1.45 ± 0.10</td>
<td>1.30 ± 0.12 *</td>
<td>1.07 ± 0.09</td>
<td>1.17 ± 0.17 *</td>
<td>1.41 ± 0.12 *</td>
<td>1.13 ± 0.15 *</td>
</tr>
<tr>
<td>(vSR_{inf}) (m·s(^{-1}))</td>
<td>1.45 ± 0.13</td>
<td>1.35 ± 0.10</td>
<td>1.07 ± 0.07</td>
<td>1.28 ± 0.08</td>
<td>1.42 ± 0.13</td>
<td>1.19 ± 0.13</td>
</tr>
<tr>
<td>(vSL_{inf}) (m·s(^{-1}))</td>
<td>1.45 ± 0.13</td>
<td>1.35 ± 0.10</td>
<td>1.07 ± 0.08</td>
<td>1.28 ± 0.08</td>
<td>1.42 ± 0.13</td>
<td>1.20 ± 0.13</td>
</tr>
<tr>
<td>([La]_{AnT}) [(mmol·l(^{-1})·(m·s(^{-1}))]</td>
<td>93.5 ± 44.2</td>
<td>118.7 ± 49.7</td>
<td>71.8 ± 11.6</td>
<td>89.5 ± 69.8</td>
<td>99.6 ± 46.3</td>
<td>82.4 ± 54.0</td>
</tr>
<tr>
<td>4th BLIS [(mmol·l(^{-1})·s(^{-1}))]</td>
<td>0.02 ± 0.01 • • •</td>
<td>0.02 ± 0.01</td>
<td>0.01 ± 0.00</td>
<td>0.01 ± 0.01</td>
<td>0.02 ± 0.01 *</td>
<td>0.01 ± 0.00</td>
</tr>
<tr>
<td>5th BLIS [(mmol·l(^{-1})·s(^{-1}))]</td>
<td>0.04 ± 0.02 • • • +</td>
<td>0.04 ± 0.02²</td>
<td>0.02 ± 0.01²</td>
<td>0.03 ± 0.02²</td>
<td>0.04 ± 0.02²• • • + 0.03 ± 0.02²²</td>
<td></td>
</tr>
</tbody>
</table>

Abbreviations: [La]_{AnT}, blood lactate concentration at anaerobic threshold; v_{AnT}, v4 and v3.5, velocities corresponding to individual anaerobic threshold, 4 and 3.5 mmol·l\(^{-1}\) of blood lactate concentrations; vSR_{inf} and vSL_{inf}, velocities corresponding to stroke rate a length inflexion points; [La]_{AnT}, blood lactate increase rate between last two steps of the protocol; 4th and 5th BLIS, blood lactate increase speed of 4th and 5th steps. * , + , + + differences from v_{AnT}, butterfly, breaststroke, simultaneous techniques and 4th BLIS, respectively (p ≤ 0.05).
Higher anaerobic contribution at the end of the incremental protocol was indicated by a higher BLIS of the 5th step than the 4th 200 m stage (as the last step was conducted at maximal exertion) [17]. Another contributing evidence was the fact that swimmers with a higher SR, at the final of the incremental protocol, could not maintain their SL [12, 15]. The higher BLIS values observed on the alter-
nated swimming techniques can be justified by the higher velocities achieved at the 4th and 5th step (comparing to simultaneous techniques) and consequent increased metabolic demands. Curiously, BLIS of the last butterfly step was lower than a previous study using an all-out 200 m butterfly effort [37], evidencing the effect of previous exercise on the energetic pathways behaviour during the incremental protocol (indicating a progressive decrease in glycolytic power). These data may also indicate that the aerobic system is potentiated at submaximal exertions, allowing a higher blood lactate metabolism during exercise at maximal effort [38], particularly during the last step of the 5 × 200 m intermittent incremental protocol.

![Fig. 5](image-url) Bland Altman analyses between velocity corresponding to individual anaerobic threshold and velocity of stroke rate (left panels), and stroke length (right panels) inflection points. Black dotted lines representing 95% limits of agreement and black dashed lines bias and linear regression of physiological and biomechanical variables. Abbreviations: vAnT, velocity corresponding to individual anaerobic threshold; vSR_{inf} and vSL_{inf}, velocities corresponding to stroke rate and length inflexion points.
Conclusion

The widely used 5 × 200 m intermittent incremental protocol allowed a specific and precise AnT assessment in the four conventional swimming techniques. Although it appears that the 3.5 mmol·L⁻¹ threshold value (rather than 4.0 mmol·L⁻¹) is more suitable for front crawl, an individual assessment is the better option. It was also possible to establish the AnT as a biophysical boundary for all competitive swimming techniques, allowing very practical information for coaches. Although it may be easier to determine the AnT using biomechanical variables, it is important to initially analyse the swimmers’ AnT through a physiological approach, to determine whether the difference between the two approaches is significant in actual practice. The BLIS indicator was useful for assessing swimmers anaerobic behaviour and training exercises above AnT.

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Conflict of Interest

Authors declare that they have no conflict of interest.

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